

Form 210A (10/06)

**United States Bankruptcy Court
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case Nos. 08-13555 (JMP)
(Jointly Administered)

TRANSFER OF CLAIMS OTHER THAN FOR SECURITY

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of
the transfer, other than for security, of the claims referenced in this evidence and notice and
annex hereto.

Name of Transferee

BANK SARASIN & CIE AG,
SINGAPORE BRANCH

Name of Transferor

BANK SARASIN-RABO (ASIA) LIMITED

Name and Address where notices to transferee
should be sent:

Bank Sarasin & Cie AG, Singapore Branch
77 Robinson Road # 31-00
Singapore 068896

Court Claim # (if known):

Please refer to
Annex

Date Claim Filed:

Please refer to
Annex

Transferred Claim Amount:

Please refer to
Annex

Attention: Legal Department
Fax: +65 6438 7256
Email: serene.chee@sarasin.com

With a copy to:

Bär & Karrer AG
Brandschenkestrasse 90,
CH-8027 Zürich, Switzerland

Attention: Dr. Peter Hsu / Dr. Daniel Flühmann
Fax: +41 58 263 53 94

Last Four Digits of Acct #: 1471

Last Four Digits of Acct. #: 1471

Name and Address where transferee payments
should be sent (if different from above):

N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

For and on behalf of the Transferee:

By:   Date: 28 DECEMBER 2011

Name: Tay Puay Hui Serene Chee
Title: Managing Director Director
Head of Legal, Asia

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C §§ 152 & 3571.

ANNEX

Asset ISIN	Proof of Claim No - External	Date Claim Filed	Proposed Allowed Claim Amount (USD)	Amount Claimed by Transferor (USD)
XS0203783526	57617	29 Oct. 2009	197,407.41	385,666.67
XS0229269856	57618	29 Oct. 2009	N/A	103,385.74
XS0276139341	57616	29 Oct. 2009	615,279.23	629,418.07
XS0276147104	57642	29 Oct. 2009	410,550.49	438,746.64
XS0276149738	57641	29 Oct. 2009	174,908.33	179,383.63
XS0276444147	57640	29 Oct. 2009	86,040.57	84,064.86
XS0280903740	57639	29 Oct. 2009	39,748.11	45,009.23
XS0281423235	57638	29 Oct. 2009	183,437.04	191,551.36
XS0282034460	57637	29 Oct. 2009	980,931.90	1,089,989.01
XS0283189016	57504	29 Oct. 2009	200,450.14	212,793.91
XS0283944899	57503	29 Oct. 2009	1,210,861.16	1,341,495.61
XS0288569972	57502	29 Oct. 2009	61,729.04	107,556.16
XS0292198420	57491	29 Oct. 2009	650,577.84	753,331.07
XS0297906801	57490	29 Oct. 2009	205,875.86	215,422.90
XS0300153714	57489	29 Oct. 2009	2,334,589.12	2,501,284.02
XS0300154365	57488	29 Oct. 2009	4,322,178.37	4,653,069.59
XS0300339578	57522	29 Oct. 2009	286,694.76	301,834.63
XS0300350625	57521	29 Oct. 2009	181,868.14	196,673.21
XS0300662607	57520	29 Oct. 2009	78,550.53	83,718.92
XS0301129549	57519	29 Oct. 2009	202,879.79	219,287.47
XS0301130554	57518	29 Oct. 2009	624,855.36	636,223.17
XS0301339510	57517	29 Oct. 2009	82,022.12	88,827.10
XS0301340872	57516	29 Oct. 2009	82,769.83	103,734.58
XS0301956396	57514	29 Oct. 2009	1,313,164.42	1,413,211.82
XS0301958178	57513	29 Oct. 2009	157,708.56	161,566.94
XS0302115372	57512	29 Oct. 2009	38,482.35	39,645.08
XS0302906077	57511	29 Oct. 2009	1,373,235.28	1,439,611.34
XS0303315161	57510	29 Oct. 2009	893,638.21	959,935.76
XS0303759632	57509	29 Oct. 2009	253,709.60	267,311.08
XS0305099359	57508	29 Oct. 2009	976,886.50	1,109,063.64
XS0305100892	57507	29 Oct. 2009	288,283.61	339,802.18
XS0305438821	57506	29 Oct. 2009	79,970.05	83,614.02
XS0306693127	57487	29 Oct. 2009	116,955.70	128,569.56
XS0308274140	57486	29 Oct. 2009	138,424.63	155,692.47
XS0308970994	57485	29 Oct. 2009	785,496.73	816,834.51
XS0310085575	57484	29 Oct. 2009	293,281.89	323,701.02
XS0310085815	57707	29 Oct. 2009	491,398.84	534,352.12
XS0311484561	57706	29 Oct. 2009	42,938.56	47,376.02
XS0312194854	57705	29 Oct. 2009	200,087.57	213,033.64
XS0320033466	57704	29 Oct. 2009	50,500.11	51,420.00
XS0320520884	57703	29 Oct. 2009	88,031.40	97,265.36
XS0321101007	57702	29 Oct. 2009	88,029.53	97,005.01
XS0321495532	57701	29 Oct 2009	1,586,177.66	2,022,592.55
XS0324464345	57700	29 Oct 2009	87,916.76	99,573.25
XS0325786324	57699	29 Oct 2009	841,049.68	3,409,498.63

Asset ISIN	Proof of Claim No - External	Date Claim Filed	Proposed Allowed Claim Amount (USD)	Amount Claimed by Transferor (USD)
XS0326490504	57698	29 Oct 2009	2,701,836.80	2,947,912.97
XS0326866216	57697	29 Oct 2009	491,853.07	543,370.51
XS0327465604	57696	29 Oct 2009	467,926.31	481,882.45
XS0327848015	57695	29 Oct 2009	79,292.27	87,029.13
XS0328864698	57694	29 Oct 2009	350,720.26	3,564,041.10
XS0332137271	57501	29 Oct 2009	374,982.12	410,088.04
XS0333301462	57500	29 Oct 2009	73,860.92	89,779.78
XS0333302601	57499	29 Oct 2009	803,317.25	1,193,252.28
XS0335156773	57498	29 Oct 2009	230,484.28	595,640.98
XS0336416051	57497	29 Oct 2009	97,021.42	108,071.52
XS0336416564	57496	29 Oct 2009	101,376.42	167,643.43
XS0336616494	57492	29 Oct 2009	711,857.45	1,707,860.51
XS0337553415	57525	29 Oct 2009	559,218.51	716,981.84
XS0339560889	57526	29 Oct 2009	1,263,718.93	1,487,906.75
XS0340050284	57528	29 Oct 2009	202,200.00	418,754.65
XS0340113629	57527	29 Oct 2009	847,842.74	1,020,648.08
XS0345212806	57529	29 Oct 2009	201,032.78	438,075.56
XS0345213283	57530	29 Oct 2009	503,825.00	1,059,055.83
XS0347785312	57634	29 Oct 2009	501,166.67	1,027,918.67
XS0348442756	57635	29 Oct 2009	500,943.75	1,100,429.49
XS0351857551	57636	29 Oct 2009	504,818.54	958,956.01
XS0356956564	57523	29 Oct 2009	101,057.50	218,594.47
XS0361107757	57524	29 Oct 2009	93,887.55	104,713.05

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")
In re Lehman Brothers Holdings Inc., et al., Case Number 08-13555 (JMP) (Jointly Administered)

Proof of Claim Number: 57484, 57485, 57486, 57487, 57488, 57489, 57490, 57491, 57492, 57496, 57497, 57498, 57499, 57500, 57501, 57502, 57503, 57504, 57506, 57507, 57508, 57509, 57510, 57511, 57512, 57513, 57514, 57516, 57517, 57518, 57519, 57520, 57521, 57522, 57523, 57524, 57525, 57526, 57527, 57528, 57529, 57530, 57616, 57617, 57618, 57634, 57635, 57636, 57637, 57638, 57639, 57640, 57641, 57642, 57694, 57695, 57696, 57697, 57698, 57699, 57700, 57701, 57702, 57703, 57704, 57705, 57706, 57707

BANK SARASIN-RABO (ASIA) LIMITED, a company incorporated under the laws of Singapore and having its registered office at 77 Robinson Road # 31-00, Singapore 068896, and its successors and assigns ("Transferor"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto **BANK SARASIN & CIE AG, SINGAPORE BRANCH**, a company incorporated under the laws of Switzerland and acting through its registered office at 77 Robinson Road # 31-00, Singapore 068896 and its successors and assigns ("Transferee"), all rights, title and interest in and to the following Proof of Claim Numbers:

Proof of Claim Number: 57484, 57485, 57486, 57487, 57488, 57489, 57490, 57491, 57492, 57496, 57497, 57498, 57499, 57500, 57501, 57502, 57503, 57504, 57506, 57507, 57508, 57509, 57510, 57511, 57512, 57513, 57514, 57516, 57517, 57518, 57519, 57520, 57521, 57522, 57523, 57524, 57525, 57526, 57527, 57528, 57529, 57530, 57616, 57617, 57618, 57634, 57635, 57636, 57637, 57638, 57639, 57640, 57641, 57642, 57694, 57695, 57696, 57697, 57698, 57699, 57700, 57701, 57702, 57703, 57704, 57705, 57706, 57707


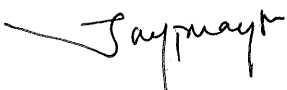
(the "Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor, with effect from 1 January 2012.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim.


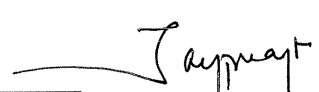
You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 28 December, 2011.

BANK SARASIN-RABO (ASIA) LIMITED

By:  
Name: **Janis Lim** Tay Puay Hui
Title: *Vice President* Managing Director

BANK SARASIN & CIE AG, SINGAPORE BRANCH

By:  
Name: **Janis Lim** Tay Puay H
Title: *Vice President* Managing Director

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Zürich	Genève	Genève	Genève	Genève	Genève	Genève	Genève	Genève	Genève
Dr. Christian Steinmann	Dr. Peter Hsu	Florian Schönknecht	Ralph Stockli	Prof. Dr. Rashid Bahar	Michael Trippel	Dr. Thomas Bär			
Prof. Dr. Rolf Watter	Thomas Rohde	Othmar Aeschi	Andreas von Erlach	Ludvine Bolsard	Thomas Stoltz ⁹	Dr. Robert Karrer			
Daniel Hochstrasser	Daniel Bader ⁹⁹	Olivia Pelli	Dr. Daniel Flühmann	Dr. Andrew M. Garbarski	Dr. Daniela Fiorillo	Dr. Peter J. Kienast			
Peter Reinartz [*]	Dr. Raoul Stocker ⁹⁹	Tina Balzli	Claudio Bazzi	Aurélien Conrad Hari	Felix Kappeler ⁹	Dr. Marc Blessing			
Dr. Andreas Länzlinger	Flavia I. Bieri Bürge	Dr. Larissa Marolda Martínez	Valentin Baltzer	Grégoire Chappuis	Birgit Urbons ⁹	Gianpaolo Arrigoni			
Urs Brügger	Sten E. D. Rasmussen	Laura Widmer	Daniel Heiniger	Vincent Guignat	Dr. Debora Gabriel	Dr. Robert E. Züllig			
Dr. Ralph Malacrida	Ariane Riedi Wirth	PD Dr. Markus Schott	Anna Mosimann	Annemarie Streuli		Prof. Dr. Marc Amstutz			
Eric Stupp	Dr. Katja Roth Pellanda	Dr. David P. Henry	Manuel Annasohn	Vanessa Dégise		Stephanie Comtesse			
Michele Bernasconi	Prof. Dr. Rashid Bahar	Dr. Pascal Rüedi	Thomas Candrian			Prof. Dr. Robert Waldburger ⁹⁹			
Dr. Daniel U. Lehmann ⁹⁹	Dr. Mariel Hoch Classen	Dr. Oliver M. Brupbacher	Simone Stebler			Marie-Christine Balzan			
Dr. Markus Wang	Michael Barrot [*]	Cosima von Rechteren ⁹⁹	Kevin Russi						
Tina Wüstemann	Nadja Jaisli Kull	Dr. Debora Gabriel	David Liatowitsch						
Dr. Andreas J. Bär	Urs Kägi	Yvonne Studer	Romina Brogini						
Matthew T. Reiter	Dr. Till Spillmann	Raphael Cica							
Roland Truffer	Phyllis Scholl	Dr. David Barst ⁹⁹							
Dr. Corrado Rampini	Bernhard H. Heusser	Kaspar Theiler							
Dr. Dieter Dubs ⁹⁹	Dr. Karin Beyeler	Daniel Raun							
Dr. Thomas U. Reutter	Dr. Eva Borla-Geler	Barbara Badertscher							
Dr. Mani Reinert	Dr. Daniel Leu	Andrea Hauser							

By DHL

Epiq Bankruptcy Solutions, LLC
Attn.: Lehman Brothers Holdings Claims
Processing
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

**BÄR
& KARRER**

Zurich, 9 January 2012

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Lehman Brothers Holdings Inc., et al.: Bank Sarasin-Rabo (Asia) Limited / Transfer of Claims Other than for Security

Dear Madam, dear Sir,

On behalf of Bank Sarasin & Co. Ltd, I am sending you enclosed i) an original copy of a completed Form 210A regarding the transfer of claims other than for security and ii) an original copy of an evidence of transfer of claim.

Sincerely yours,



Peter Hsu

Enclosures

Bär & Karrer
Rechtsanwälte

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Eingetragen im
kantonalen Anwaltsregister

